

ATTACHMENT N-1: MODULE 1 MINIMUM REQUIREMENTS RESPONSE**Minimum Requirements – Module 1**

Vendor must demonstrate it meets Minimum Requirements to have its response evaluated by the Plan. Vendor must agree to the terms below by checking the boxes and providing the information, documentation, including reports, or other details to demonstrate its adherence to each requirement, as applicable and required herein, and signing below.

1. Experience

Vendor has provided claims processing and retail network management services comparable in scope to those described in this Module for at least one (1) public service or private client with more than fifty thousand (50,000) lives. Include in the confirmation the name of the client/group, a description of the services provided, and the contact information for the Plan to contact for a reference.

☐ Confirmed☐ Not Confirmed

Vendor Response:

2. Module Awards and Integration and Coordination

Vendor acknowledges that Module 1 is for stand-alone claims processing, Customer service and retail network services. If the Plan awards Module 2 and/or Module 3 of this RFP to a different vendor(s), Module 1 Vendor must agree to integrate with the selected vendor(s) to administer all the requirements outlined in Module 1.

☐ Confirmed☐ Not Confirmed**3. Advance Payments and Funding**

Vendor must reimburse pharmacies for Plan claims, prior to seeking reimbursing from the Plan as outlined in Section 4.2 “Invoices”. For reference, the Plan’s average weekly claims expense is approximately \$35,000,000. Vendors must demonstrate financial capacity to make advance payments by referencing financial statements

provided in response to Section 4.3 “Financial Stability” or providing evidence of alternative financing mechanisms. If the Vendor intends to rely on a line of credit, it must submit either (a) an executed credit agreement, or (b) a binding commitment letter from a financial institution confirming approval of the credit facility, subject only to contract award. Vendors may offer to fund claims through an alternative claims funding option as outlined in Section 5.3.13 “Claims Invoice – Module 1 Alternative Claims Funding Option”. This model must meet all North Carolina State Banking laws and regulations and would require extensive technical and ongoing financial reporting staff.

Confirm that Vendor can provide advanced funding to reimburse pharmacies for Plan claims. If the Vendor intends to rely on a line of credit, provide within the response either (a) an executed credit agreement, or (b) a binding commitment letter from a financial institution confirming approval of the credit facility, subject only to contract award.

☐ Confirmed☐ Not Confirmed

Vendor Response:

4. Medicare data and coordination of benefits

Vendor must be able to accept and load Medicare information from the EDI file provided by the Plan’s Eligibility and Enrollment Services vendor as outlined in Section 5.3.4.2 “Enrollment Group Set-Up & EDI Requirements.”

☐ Confirmed☐ Not Confirmed

5. Data Ownership

Vendor must agree that all data related to any services provided under this Contract ultimately belongs to the Plan and will be provided to the Plan no less regularly than monthly.

☐ Confirmed☐ Not Confirmed

6. Vendor Collaboration

Vendor must be willing to collaborate with, provide data to, and respond promptly to other vendors supporting the Plan.

☐ Confirmed

☐ Not Confirmed

7. Selling of Data Prohibited

Vendor must agree to prohibition on use of any Plan Member information to offer, solicit, sell, or provide any services or products to Plan Members without Plan approval.

☐ Confirmed

☐ Not Confirmed

8. Use of Plan Data for Contract Performance Only

The Vendor shall not use, or otherwise disseminate, sell, copy, or make available to any person or entity, data relating to any aspect of performance of the Contract, for any purpose other than what is necessary in order to perform the services. If Vendor licenses aggregate, de-identified claims data to various entities, Vendor shall not include or provide the Plan's data to these entities. Therefore, Vendor shall receive no such fees for the Plan's data, and no such fees are included as Rebates passed to the Department. This requirement shall survive the termination of the Contract.

☐ Confirmed

☐ Not Confirmed